Old but Gold: Prospecting TCP to Engineer and Live Monitor DNS Anycast

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Abstract. DNS latency is a concern for many service operators: CDNs exist to reduce service latency to end-users but must rely on global DNS for reachability and load-balancing. Today, DNS latency is monitored by active probing from distributed platforms like RIPE Atlas, with Verfploeter, or with commercial services. While Atlas coverage is wide, its 10k sites see only a fraction of the Internet. In this paper we show that passive observation of TCP handshakes can measure live DNS latency, continuously, providing good coverage of current clients of the service. Estimating RTT from TCP is an old idea, but its application to DNS has not previously been studied carefully. We show that there is sufficient TCP DNS traffic today to provide good operational coverage (particularly of IPv6), and very good temporal coverage (better than existing approaches), enabling near-real time evaluation of DNS latency from real clients. We also show that DNS servers can optionally solicit TCP to broaden coverage. We quantify coverage and show that estimates of DNS latency from TCP is consistent with UDP latency. Our approach finds previously unknown, real problems: DNS polarization is a new problem where a hypergiant sends global traffic to one anycast site rather than taking advantage of the global anycast deployment. Correcting polarization in Google DNS cut its latency from 100 ms to 10 ms; and from Microsoft Azure cut latency from 90 ms to 20 ms. We also show other instances of routing problems that add 100-200 ms latency. Finally, realtime use of our approach for a European country-level domain has helped detect and correct a BGP routing misconfiguration that detoured European traffic to Australia. We have integrated our approach into several open source tools: ENTRADA, our open source data warehouse for DNS, a monitoring tool (Anteater), which has been operational for the last 2 years on a country-level top-level domain, and a DNS anonymization tool in use at a root server since March 2021.

1 Introduction

Latency is a key performance indicator for many DNS operators. DNS latency is seen as a bottleneck in web access [65]. Content Delivery Networks (CDNs) are particularly sensitive to DNS latency because, although DNS uses caching extensively to avoid latency, many CDNs use very short DNS cache lifetimes to give frequent opportunities for DNS-based load balancing and replica selection [14]. Latency is less critical at the DNS root [25], but unnecessary delay should be avoided [7]. Because of public attention to DNS latency, low latency is a selling point for many commercial DNS operators, many of whom deploy extensive distributed systems with tens, hundreds, or more than 1000 sites [8].

DNS deployments often use *IP anycast* [47,33] to reduce latency for clients. A *DNS service* is typically provided by two or more *authoritative DNS servers* [22], each defined in DNS on a separate IP address with an NS record [35]. With IP anycast, the IP address assigned to the authoritative DNS server is announced from many physically distributed *sites*, and BGP selects which clients go to which site—the anycast *catchment* of that site. DNS clients often select the lowest-latency authoritative server when they have a choice [43,40]. We show (§5) that this preference shifts client traffic to sites with the lowest latencies. (Although we focus on anycast, our approach can also be used to evaluate multiple unicast services serving the same zones.)

DNS latency has been extensively studied [9,42,59]. Previous studies have looked at both absolute latency [59] and how closely it approaches speed-of-light optimal [63,28]. Several studies measure DNS latency from measurement systems with distributed vantage points such as RIPE Atlas [53], sometimes to optimize latency [7,34]. Recent work has shown how to measure any cast catchments with active probes with Verfploeter [13,12], and there is ongoing work to support RTT measurements. However, approaches to measure latency provide mixed coverage: large hardware-based measurements like RIPE Atlas only have about 11k active vantage points and cover only 8670 /24 IPv4 network prefixes [51] (May 2020). and commercial services have fewer than that. Verfploeter provides much better coverage, reaching millions of networks, but it depends on a response from its targets and so cannot cover networks with commonly-deployed ICMP-blocking firewalls. It is also difficult to apply to IPv6 since it requires a target list, and effective IPv6 target lists are an open research problem [16]. Finally, with the cost of active probing. Verfploeter is typically run daily and is too expensive to detect hourly changes (and RTT measurement support will require twice as much probing).

The main contribution of this paper is to fully evaluate and show operational results from *passive latency observations in DNS*. We show that passive observations of latency in TCP can provide continuous updates of latency with no additional traffic, providing operationally-useful data that can complement active probing methods such as Verfploeter or static observers such as RIPE Atlas. Such observations are not possible with DNS over UDP, and active probing is typically less frequent.

Observing latency from TCP, and in DNS, is not completely new, but prior work has not validated its accuracy and coverage. The TCP handshake has been used to estimate RTT at endpoints since 1996 [20], and it is widely used in passive analysis of HTTP (for example, [57]). Even in DNS, using TCP has been touched upon—the idea was shared with us by Casey Deccio, and .cz operators have used it in their service as described in non-peer-reviewed work that was independent from ours [31,32]. We validate that latency measured from UDP and our estimates from TCP match (§2.2). We show that DNS servers can choose to solicit TCP from selected clients to increase coverage, if they desire, with an implementation in Knot (§2.1).

Our second contribution is to show that *TCP*-handshakes provide an effective estimate of DNS latency. Although DNS most often uses UDP, leaving DNSover-TCP (shortened to DNS/TCP) to be often overlooked, we show that there is enough DNS/TCP traffic to support good coverage of latency estimation. Prospecting through DNS traffic can find the latency "gold". Unlike prior approaches, passive analysis of TCP provides more coverage as busy clients send more queries, some with TCP. It provides good coverage of DNS traffic: for .nl, the top 100 ASes that send DNS/TCP traffic are responsible for more than 75% of all queries (§2.1), and we cover recursive servers sending the majority of queries. By scaling coverage with actual traffic, continuous passive RTT estimation can *increase temporal coverage* beyond current active approaches. For .nl, we cover 20k ASes every hour (§2.1). Finally, passive analysis is the only approach that provides good coverage for IPv6 networks, overcoming the problem of active probing with stateless IPv6 addresses [46].

Our final contribution is to show that TCP-based latency estimation matters—it detects latency problems in operational networks, improving *latency* engineering in any cast (§4). We identify DNS polarization as a problem that occurs when an Internet "hypergiant" [27,48,17] with a global footprint sends traffic over their own backbone to a single anycast location rather than taking advantage of an existing global anycast service. We show the importance of detecting and correcting this problem, reducing latency inflation by 150 ms for many clients of Google and Microsoft as they access .nl ccTLD and two commercial DNS providers. We have instrumented our open-source ENTRADA [70,61] with DNS/TCP RTT analysis. We provide a new tool, Anteater, that analyzes DNS/TCP RTT continuously to detect errors and failures in real-time (we released it freely at [37]), and extend an existing DNS analysis tool (dnsanon). These tools have been operational for more than two years at SIDN, the Netherlands ccTLD (.nl) operator, and were deployed in March 2021 by the B-Root root DNS server. During that deployment, our tools have detected several problems. In one case, some users experienced large increases in RTT due to traffic from Europe going to an anycast site in Australia $(\S4.4)$.

Our tools are freely available, including our changes to Knot [10], dnsanon, Anteater, and ENTRADA. Part of our data is from public TLDs, so privacy concerns prevent making data public. Our analysis follows current ethical guidelines: we never associate data with information about specific individuals, and our analysis is part of improving operations.

2 DNS/TCP for RTT?

While UDP is the preferred transport layer for DNS, TCP support has always been required to handle large replies [6] and all compliant resolvers are required

to use TCP when the server sets the TC (truncated) bit [35]. TCP has also always been used for zone transfers between servers, and now increasing numbers of clients are using TCP in response to DNSSEC [2], response-rate limiting [66], and recently DNS privacy [23].



Fig. 1. TCP Handshake and RTT Measurements

The RTT between a TCP client and server can be measured passively during the TCP session establishment [20,34] or during the connection teardown [57]. In our work, we measure the RTT during the session establishment, as shown in Figure 1: we derive the RTT between client and server by computing the difference between times s2 and s1, measured at the server. (In §2.2 we validate against client measurements of transaction time c1and c3, which will be two RTTs (plus usually negligible server processing time).)

When we have multiple observations per target region (AS or prefix), we take the median. We choose median so that frequent retries will change the result, but occassional

retries will not.

For passive TCP observations to support evaluation of anycast networks for DNS, (a) enough clients must send DNS over TCP so they can serve as *vantage points* (VPs) to measure RTT, and (b) the RTT for queries sent over TCP and UDP should be the same.

We next verify these two requirements, determining how many clients can serve as VPs with data from three production authoritative servers ($\S2.1$) – two from the .nl zone, and B-root, one of the Root DNS servers [56]. We then compare the RTT of more than 8k VPs with both TCP and UDP to confirm they are similar ($\S2.2$), towards two large anycast networks: K and L-Root, two of the 13 anycast services for the Root DNS zone.

2.1 Does TCP provide Enough Coverage?

To assess whether DNS/TCP has enough coverage in production authoritative servers, we look at production traffic of two DNS zones: .nl and the DNS Root. For each zone we measure: (a) the number of resolvers using the service; (b) the number of ASes sending traffic; (c) the fraction of TCP queries the servers receive; (d) the percentage of resolvers using both UDP and TCP; and (e) the RTT of the TCP packets.

Our goal is to get a good estimate of RTT latency that covers recursive servers accounting for the majority of client traffic. If every query were TCP, we could determine the latency of each query and get 100% coverage. However, most DNS queries are sent over UDP instead of TCP.

We, therefore, look for *recursive representation*—if we have a measured query over TCP, is its RTT the same as the RTTs of other queries that use UDP, or that

	G	Queries		\mathbf{Resc}	lvers	ASes	
	Anycast	A Ang	ycast B	Any. A	Any. B	Any. A	Any. B
Total	$5\ 237\ 454\ 4$	456 5 679	$361 \ 857$	$2 \ 015 \ 915$	$2 \ 005 \ 855$	42 253	42 181
IPv4	4 005 046 7	$701 \ 4 \ 245$	$504 \ 907$	$1 \ 815 \ 519$	$1\ 806\ 863$	41 957	41 891
UDP	3 813 642 8	$861 \ 4 \ 128$	$517 \ 823$	$1 \ 812 \ 741$	$1 \ 804 \ 405$	41 947	41 882
TCP	$191 \ 403 \ 8$	840 116	$987 \ 084$	$392 \ 434$	364 050	18 784	$18 \ 252$
ratio TCP	5.02	2%	2.83%	21.65%	20.18%	44.78%	43.58%
IPv6	$1\ 232\ 407\ 7$	$755\ 1\ 433$	$856 \ 950$	$200 \ 396$	198 992	7664	$7\ 479$
UDP	1 160 414 4	$491\ 1\ 397$	$068 \ 097$	$200\ 069$	198 701	7 662	$7\ 478$
TCP	$71 \ 993 \ 2$	264 36	788 853	$47 \ 627$	4 6190	3 391	$3 \ 354$
ratio TCP	6.5	2%	2.63%	23.81%	23.25%	44.26%	44.85%

Table 1. DNS usage for two authoritative services of .nl (Oct. 15-22, 2019).

are from other nearby recursive resolvers? If network conditions are relatively stable, the TCP query's RTT can represent the RTT for earlier or later UDP queries from the same resolver. Since /24 IPv4 prefixes (and /56 IPv6 prefixes) are usually co-located, DNS/TCP measurements from one IP can also represent other resolvers in the same prefix. Our goal is to find latency for DNS recursive resolvers, not all client networks—since recursive resolvers that generate the most traffic are most likely to send TCP queries, we expect good coverage even if TCP use is rare.

.nl authoritative servers .nl currently (Oct. 2019) has four Authoritative DNS services, each configured to use IP anycast. We next examine data from two of these services. Anycast Services A and B employ 6 and 18 sites distributed globally. Each is run by a third-party DNS operator, one headquartered in Europe and the other in North America. They do not share a commercial relationship, nor do they share their service infrastructure.

DNS/TCP usage: we analyze one week of traffic (2019-10-15 to -22) for each service using ENTRADA. That week from each service handles about 10.9 billion queries from about 2M resolvers spanning 42k Autonomous Systems (ASes), as can be seen in Table 1. The data shows that TCP is used rarely, accounting for less than 7% of queries for each anycast service. However, those queries represent more than a fifth of resolvers and 44% of ASes. (In all cases, TCP queries come from IP addresses that also send UDP queries.)

AS representation: We have TCP data for roughly 44% of all ASes (Table 1). This coverage is lower than we would prefer, but these are the ASes that account for the majority of traffic: the top DNS/TCP 10 ASes are responsible for half of all queries, while the top DNS/TCP 100 ASes account for 78% for Service A and 75% for B (Figure 2). Although we miss many ASes, we next show we cover the prefixes in those ASes with recursive servers and we account for a large fraction of DNS traffic.

Traffic coverage: We see that 5% of all queries are TCP, and they originate from about 20% of all resolvers (Table 1). While these are incomplete, we next show that they cover the majority of DNS traffic. In Table 2, we see that 29-

	Anycast A	A Anycast B
IPv4	$4 \ 005 \ 046 \ 70$	$1 \ 4 \ 245 \ 504 \ 907$
TCP resolvers $(DNS/UDP + DNS/TCP)$	$2 \ 306 \ 027 \ 92$	$2\ 1\ 246\ 213\ 577$
Ratio (%)	57.7%	6 29.35%
IPv6	$1\ 232\ 407\ 75$	$5\ 1\ 433\ 856\ 950$
TCP resolvers $(DNS/UDP + DNS/TCP)$	$533 \ 519 \ 52$	$7 518 \ 144 \ 495$
Ratio (%)	43.29%	% 36.13%

Table 2. Traffic coverage for resolvers that use TCP in addition to UDP for DNS queries for .nl (Oct. 15–22, 2019).

	Α	в	\mathbf{C}	D	\mathbf{F}	н	Ι	J	K	\mathbf{L}	\mathbf{M}
Total	70601	40601	59033	88136	144635	31702	66582	115162	76761	105041	42702
IPv4	58552	33925	47675	74565	125020	25706	55874	96727	61378	88046	33687
UDP	56921	32334	45568	70969	118738	25234	51208	87891	60312	84059	31925
TCP	1631	1591	2107	3596	6282	472	4665	8836	1065	3986	1762
Ratio TCP	2.87%	4.92%	4.62%	5.07%	5.29%	1.87%	9.11%	10.05%	1.77%	4.74%	5.52%
IPv6	12049	6675	11357	13571	19614	5995	1070	18435	15383	16994	9014
UDP	11659	6280	10966	13071	18919	5825	936	15511	15108	16576	8268
TCP	389	394	391	499	694	169	1342	2923	274	418	746
Ratio TCP	3.34%	6.29%	3.57%	3.82%	3.67%	2.92%	14.34%	18.84%	1.82%	2.52%	9.03%
Table 3. DNS	queries	s (in r	nillion	s) for	Root 2	DNS ((E and	G miss	sing) -	- 2019-	10-15



58% of the total traffic (depending on IP version and anycast service) is from resolvers that have sent some TCP. As such, we have latency for at least 29% and up to 58% of DNS traffic. In addition, if we want full coverage, we describe below how we can induce coverage when it is necessary.

Root DNS: To confirm that DNS/TCP provides coverage beyond .nl, we also look at how many TCP queries are seen at most Root DNS servers [56] over the same period. Table 3 shows RSSAC-002 statistics [24,69] from 11 of the 13 Root DNS services reporting at this time. We see the ratio of TCP traffic varied for each service (known as "letters", from A to M) and IPv4 or IPv6, overall ranging from 2.8 (A Root over IPv4) up 18.9% (J Root over IPv6). This data suggests the root letters see similar DNS/TCP rates as .nl.

Inducing Coverage While TCP coverage is not complete, we can get complete coverage by actively managing traffic to induce occasional TCP queries, as is often done in web systems (for example, [58]). The DNS specification includes the TC ("truncated") bit to indicate a truncated reply that must be retried over TCP. DNS Receiver Rate Limiting [66] (RRL) uses this mechanism to force possible UDP-based address spoofers to resend their queries with TCP. Switching to TCP allows TCP cookies to prevent spoofing [15].

A DNS server can use this same mechanism to solicit TCP queries from selected clients, allowing us to determine RTTs. We have implemented this capability in the Knot DNS server [11], building on Knot's RRL implementation. Our implementation tracks each block (/24 IPv4 prefix, or /56 IPv6 prefix). When a



Fig. 2. .nl: queries distribution per AS.

UDP request from that block arrives, if there are insufficient TCP queries in the last hour, it returns an answer with the TC bit set with some probability. The probability of not setting the bit and the required number of RTT observations per hour are both configurable. (However, our measurements pre-date and so do not use this mechanism.)

The cost of forcing TCP is two additional round trips, and some resolvers fail to convert to TCP [41]. TCP solicitation should therefore be used sparingly, although other deployed systems diverting some traffic to identify service problems (for example, Facebook [58]). To ensure the server's increased TCP load is negligible, RTT induction should be configured to balance better measurements against available computational resources.

Temporal Coverage Next we investigate how much *temporal coverage* passive analysis of DNS/TCP provides. We require TCP connections to observe latency in each time period with confidence, so traffic rate per AS determines our temporal precision. We hope traffic allows temporal precision of 0.5 to 4 hours so passive analysis can support near-real-time monitoring over the day (§4.4).

To evaluate the number of TCP queries per AS in a given time interval, we analyze .nl traffic from Anycast A and B. We single out one day of traffic (the first day of Table 1, 2019-10-15). On this day, Anycast A and Anycast B received UDP queries from ~ 37 k ASes over IPv4, and from ~ 6.4 k ASes over IPv6 (notice that numbers in Table 1 are higher given they cover the whole week).

To evaluate how many ASes report enough data to estimate RTTs each hour, Figure 3 shows TCP queries per hour for Anycast A. As a baseline, IPv4 (Figure 3a) sees about 26.3k ASes that send UDP queries per hour (IPv4), and 4.8k for IPv6. Of these, about 8.8k also send TCP queries (1.8k for IPv6), allowing some IPv4 RTT information about 33% of ASes and for IPv6, 38% of ASes. However, these ASes that *also* send TCP queries are responsible for the majority of *all* queries (blue line in Figure 3): more than 90% of IPv4 queries and more than 60% of all IPv6 queries. If we only consider ASes that send *at least* 10k TCP queries/hour, we still account for most of the traffic (yellow line in Figure 3).



Fig. 3. .nl temporal coverage for Anycast A

We conclude that a large number of ASes can be measured every hour with DNS/TCP. (We repeated the same analysis Anycast B (\S A) and also for another day 2019-10-21, both of them hold the same results [39]).

Summary: We see that TCP data provide good operational coverage and great temporal coverage. More importantly, TCP provides the *only* insight into IPv6 latency, since current active methods do not generalize to IPv6.

2.2 DNS/UDP vs. DNS/TCP RTT

We expect round-trip times measured with DNS/TCP and DNS/UDP to be similar. Next, we investigate that assumption.

We can compare DNS/UDP and DNS/TCP RTTs by comparing *query response times* and accounting for TCP connection setup. DNS/UDP makes a direct request and gets a response, while in DNS/TCP we set up the TCP connection (with a SYN–SYN/ACK handshake), so a TCP DNS request should take two RTTs (assuming no connection reuse, TCP fast-open, or other optimizations). We expect similar RTT estimates after dividing by two to account for TCP's handshake.

To confirm this claim we measure DNS/UDP and DNS/TCP query response times using RIPE Atlas [52]. Atlas provides about 11k devices in different locations around the world, allowing us to test many network conditions. As *targets*, we evaluate two large, globally distributed, production and public DNS anycast networks: L-Root, with 167 anycast sites, and K-Root, with 79 sites, which are two of the thirteen authoritative servers for the Root DNS zone. To measure DNS/UDP latency from probes to these root letters, we leverage existing measurements that run continuously on Ripe Atlas, every 4 minutes.

We then create DNS/TCP measurements towards L and K-Root ([k,1]root-tcp in [50]), within daily limits on query for RIPE Atlas. We study about 8.6k probes, running every 8 minutes (twice the interval of UDP measurements) for 24 hours, with results in Table 4. In these measurements, each Atlas probe directly queries the IPv4 address of the K and L-Root, without using a recursive resolver. For a fair comparison, we consider only probes that are present in both UDP and TCP measurements (\cap Probes): 8.5k and 8.9k for K and L-Root, respectively. In

	K-Re	oot	L-Root		
	UDP	TCP	UDP	TCP	
Date	Sept 4–	5, 2020	Sept 5–	6, 2020	
Freq.	$4 \min$	$8 \min$	4min	$8 \min$	
Probes	10608	8680	10595	8999	
\cap Probes	85'	77	89	01	
Queries	3759080	1516801	3756572	1600283	
\cap Queries	3044067	1499078	3160277	1583243	

Table 4. DNS/UDP vs. DNS/TCP Atlas measurements. Datasets: [50].

the same way, we only evaluate queries from these matching probes: $\sim 3M$ UDP queries and $\sim 1.5M$ for TCP measurements, for each Root Letter (\cap Queries). Both measurements consider retries: UDP in the application and TCP by the kernel. In total, we analyze 9.2M queries for both letters. Then, for each Atlas probe, we compute its latency distribution.



Fig. 4. L-Root: Density plot (log-scale) of number of Atlas observers with mean UDP and TCP DNS transaction times.

Figure 4 shows a density plot of the number of RIPE Atlas observers with a given combination of mean DNS/UDP and DNS/TCP transaction times. Each combination is the mean of around 360 observations, and we report densities as log scale across the 8.9k Atlas probes available during the measurement. (We omit a handful of outliers with UDP means more than 250 ms.) We see a strong trend on the diagonal with a 1:2 UDP:TCP ratio, corresponding with TCP requiring two round trips. Slight variations from the diagonal represents queueing; considerable variation suggests experiments with retries. We see similar results (not shown due to space) for K-Root, and for median, and for 90%ile RTTs (see [39] for CDFs). The data shows a strong correlation between UDP and TCP, but also some outliers in the lower-left corner due to retransmission.

We can quantify similarity by computing the correlation coefficient of median UDP and half the median: the correlation is 0.913 for K-Root and 0.930 for L-Root. We also did a Student's *t*-Test, evaluating the hypothesis that the UDP mean and half the TCP mean are statistically identical with a 95% confidence.

This test could not be rejected the majority of the time (64% of the time, in 5558 cases for K-Root and 5733 cases for L-Root), suggesting the results were often indistinguishable. Manual examination shows outliers are common in the cases where the hypothesis is rejected, suggesting a TCP-level retransmission. Although retransmission detection is possible, our results show usability even when minimizing computational requirements so as to optimize for low-overhead, real-item deployments. This experiment proves that passively observed TCP RTTs often provide a good representation of the RTTs that DNS/UDP will see.

3 Prioritizing Analysis

We have shown that DNS/TCP can be mined to determine RTTs (§2). Operational DNS systems must serve the whole world, there are more than 42k active ASes sending DNS queries to authoritative servers. Both detection and resolution of networking problems in anycast systems is labor intensive: detection requires both identifying specific problems and their potential root causes. Problem resolution requires new site deployments or routing changes, both needing human-in-the-loop changes involving trouble tickets, new hardware, and new hosting contracts.

Overview: We use two strategies to *prioritize* the analysis of problems that are most important: per-anycast site analysis and per client AS analysis, and rank each by median latency, interquartile range (IQR) of latency, and query volume.

We focus on anycast sites because that part of the problem is under operator control. If we find sites with high latency, we can examine routing and perhaps correct problems [7,68].

Clients ASes examine the *user* side of the problem (at recursive resolvers), since client latency is a goal in DNS service. While performance in client ASes can be difficult to improve because we do not have a direct relationship with those network operators, we show in §4 that we can address problems in some cases.

Finally, we consider median latency, interquartile range, and query volume to prioritize investigation. Median latency is a proxy for overall latency at the site. The interquartile range (the difference between 75%ile and 25%ile latencies), captures the *spread* of possible latencies at a given site or AS. Finally, query volume (or rate) identifies locations where improvements will affect more users. We sort by overall rate rather than the number of unique sources to prioritize large ASes that send many users through a few recursive resolvers (high rate, low number of recursive IPs).

Prioritization by Site: Figure 5 shows per-site latency for .nl, broken out by protocol (IPv4 and IPv6) and site, for two anycast services (A and B). For each site, we show two bars: the fraction of total queries and the number of ASes (filled and hatched bar in each cluster). We overlay both with whiskers for latency (with median in the middle and 25%ile and 75%ile at whisker ends). In these graphs some sites (such as CDG for Anycast B in IPv6) stand out with high



Fig. 5. .nl distribution of queries and ASes per site (pink bars) and latency (median, 25%ile, and 75%ile, (green lines), for each anycast site, for two services (Anycast A and B) and two protocols (IPv4 and IPv6). Data from 2020-10-15 to -22.

interquartile ranges, while others with lower interquartile range (for Anycast B, LAX-A and NRT in IPv4 and NRT and GRU in IPv6). We look at these cases in detail in §4.

Prioritization by Client AS: Figure 6 and Figure 19 (§B) show the latency distribution for the ten ASes with the largest query volume for Anycast A and B of .nl. (Due to space constraints, we show the complete list of AS names in §C). While many ASes show good latency (low median and small interquartile range), we see the top two busiest ASes for Anycast A in IPv4 (Figure 6a) show a high median and large interquartile range (Figure 6b). These ASes experience anycast polarization, a problem we describe in §4.3.

Figure 7 shows latencies for the top ASes for B-root, with quartile ranges as boxes and the 10% ile and 90% iles as whiskers. Rather than split by protocol, here we show both rankings and by query rate (§B) on the x-axis. While rank gives a strict priority, showing ASes by rate helps evaluate how important it is to look at more ASes (if the next AS to consider is much, much lower rate, addressing problems there will not make as large a difference to users). We identify specific problems from these graphs next.

4 Problems and Solutions

Given new information about IPv4 and IPv6 latency from DNS/TCP ($\S2$), and priorities ($\S3$), we next examine anycast performance for two of the four anycast services operating for .nl, and for B-root. For each problem, we describe how we found it, the root causes, and, when possible, solutions and outcomes. We



Fig. 6. .nl Anycast A queries and RTT for the top 10 ASes ranked by most queries (bars left axis). Data; 2019-10-15 to -22. ASes list on §C).

show two problems that have been documented before $(\S4.1 \text{ and } \S4.2)$ and a new problem $(\S4.3)$. While some of these problems may have been eventually discovered when users encountered them, we have discovered each from our prioritized monitoring of DNS/TCP latency $(\S3)$. Our near-real-time monitoring $(\S4.4)$ supported the discovery of these problems before user complaints.

4.1 Distant Lands

The first problem we describe is *distant lands:* when a country has no anycast server locally and has limited connectivity to the rest of the world. When trans-Pacific traffic was metered, these problems occurred for Australia and New





Fig. 7. B-root: latency of top talkers by rank

Fig. 8. Anycast B and Comcast: RTT before and after resolving IPv6 misconfiguration.

Zealand. Today we see this problem with China. China has a huge population of Internet users, but its international network connections can exhibit congestion [73].

Detection: We discovered this problem by observing large interquartile latency for .nl's Anycast B in v4 (Figure 5c) and v6 (Figure 5d) at Tokyo (NRT, both v4 and v6), Singapore (SIN, v6), and CDG (v6), all with 75% iles over 100 ms.



Fig. 9. Anycast B, Japan site (NRT): Top 8 querying ASes are Chinese, and responsible for 80% of queries.



Fig. 10. .nl Anycast A and Microsoft (IPv4): RTT before and after depolarization.

These wide ranges of latency prompted us to examine which recursive resolvers visiting these sites and showed high latency. Many queries come from ASes in Asia (Figure 9). NRT sees many queries (6.1% of total, more than its "fair share" of 5.2%). Of the top 10 ASes sending queries to NRT, 9 are from China (see Figure 9).

We see many Chinese ISPs that also send IPv6 traffic to Paris (CDG), resulting in a large RTT spread. Not only must their traffic traverse congested, international links, but they also then travel to a geographically distant anycast site, raising the 75% ile RTT at CDG over 100 ms (even though its median is under 22 ms).

Resolution: While we can diagnose this problem, the best resolution would be new anycast servers for Anycast B inside China (or manipulate BGP to attempt to steer traffic to nearby Tokyo or Singapore sites). The operator is considering deployment options, but foreign operation of sites in China has only been recently allowed [73], and anycast operation from China risks service for some non-Chinese clients traversing the Chinese national firewall.

4.2 Prefer-Customer to Another Continent

The second root-cause problem we found is when one AS prefers a distant anycast site, often on another continent, because that site is a customer of the AS. (Recall that a common BGP routing policy is *prefer customer:* if an AS can satisfy a route through one of its customers, it prefers that choice over an alternate route through a peer or transit provider. Presumably, the customer is paying the AS

for service, while sending the traffic to a peer or via transit is either cost-neutral or incurs additional cost.)

We have seen this problem in two situations: at .nl Anycast B's Brazil site, and B-root for its site in South America. While the ISP should be able to choose where it sends its traffic, anycast service operators would like to know when such policies result in large client latencies, so that can consider exploring peering options that might lower latency.

.nl Detection: We detected this problem for .nl Service B by observing high IPv6 median latency (124 ms) for queries is in São Paulo, Brazil (GRU) in Figure 5d. Examination of the data shows that many of the high-latency queries are from Comcast (AS7922), a large U.S.-based ISP. As with China and CDG, this case is an example of queries traveling out of the way to a distant anycast site, ignoring several anycast sites already in North America. We confirmed that North American clients of this AS were routing to the Brazil site by checking CHAOS TXT queries [1] from RIPE Atlas probes to Anycast B (data: ComcastV6 [50]).

.nl Resolution: We contacted .nl Anycast B's operator, who determined that the issue was with one of their upstream providers. This provider had deployed BGP communities to limit the IPv4 route to South America. After our contact, they deployed the same community for IPv6, and the Comcast traffic remained in the US.

We first confirm the problem was resolved by analyzing traces from Anycast B, and by confirming that Comcast IPv6 clients were now answered by other North American sites. The solution reduced 75% ile latency by 100 ms: in Figure 8 before the change, IPv6 shows IQR of 120 ms for Anycast B. After this change on 2020-03-23t00:00, we see the IQR falls to 20 ms. Second, we also verified with Atlas probes hosted on Comcast's network (data: ComcastV6-afterReport in [50]), and the median RTT from Comcast Atlas was reduced from 139 ms to 28 ms.

B-root Detection: B-root has observed high latencies for traffic going to a South-American anycast site of B-root. As with .nl and GRU, we examined traffic and identified a primarily-North American ISP that was sending all of its traffic to the South American site, ignoring all other lower-latency sites. We then confirmed that an AS purchases transit from this ISP.

B-root Resolution: We have not yet a completely satisfactory resolution to this problem. Unfortunately, the AS that purchases transit from the North American ISP does not directly peer with B-root, so we cannot control its peering. We currently poison the route to prevent latency problems, which significantly reduces traffic arriving at this site.

4.3 Polarization with Google and Microsoft

We next describe *anycast polarization*, a problem we first described in June 2020 [39]. We are the first to explain and demonstrate the impact of polarization on performance, although subsequent work reported it in a testbed study [64]. Like prefer-customer, it involves high latency that derives from traffic being

	Queries (Queries Top Site	(% top site)
Google	860775677	860774158	99.9998
IPv4	433145168	433145119	99.9999
IPv6	427630509	427629039	99.9997
Microsoft	449460715	449455487	99.9988
IPv4	449439957	449434729	99.9988
IPv6	20758	20758	100

Table 5. Anycast A: Polarized ASes and query distribution (Oct 15-22,2019).

needlessly sent to another continent. But it follows from BGP's limited knowledge of latency (AS path length is its only distance metric) and the flattening of the Internet [27].

Detecting the Problem We discovered this problem by examining DNS/TCPderived latency from the top two ASes sending queries to .nl Anycast A. As seen in Figure 6b and Figure 6d, AS8075 (Microsoft) and AS15169 (Google) show very high IPv4 median latency (74 ms and 99 ms), and Google shows a very high IQR (99 ms) Google also shows a high IPv6 median latency (104 ms).

Both Google and Microsoft are "hypergiants", with data centers on multiple continents (for .nl, ~85% of Google's traffic is from its Public Resolvers [38,62]). Both also operate their own international backbones and peer with the Internet in dozens of locations. These very high latencies suggest much of their DNS traffic is traveling between continents and not taking advantage of .nl's global anycast infrastructure. This problem occurs in hypergiants with backbones that do not consider multiple exits and anycast—by default they will route all their traffic to one global anycast size, creating polarization. For companies with islands connected by transit providers (without a corporate backbone), each island will compute routing locally, so anycast "just works".

Confirming the problem: .nl Anycast A has six sites, so we first examine how many queries go to each site. Table 5 shows the results—all or very nearly all (four or five "nines") go to a single anycast site due to routing preferences. For Google, this site is in Amsterdam, and for Microsoft, Miami.

While a preferred site is not a problem for a small ISP in one location, it is the root cause of very high latency for these hypergiants, who often route global traffic internally over their own backbones, egressing to one physical location. Even if it is the best destination for some of their traffic, one location will not minimize latency for multiple, globally distributed, data centers. Such routing defeats latency advantages of distributed anycast deployment [59,43].

Depolarizing Google to .nl Anycast A *Root-cause:* We first investigated Google's preference for AMS. .nl directly operates the AMS site (the other 5 sites are operated by a North American DNS provider). We determined (working with both the AMS and Google operators) that Google has a direct BGP peering with the site at AMS. BGP prefers routes with the shortest AS-PATH, and

in addition, ASes often prefer Private Network Interconnect (PNIs) over equal length paths through IXPs, so it is not surprising it prefers this path. (The general problem of BGP policy interfering with the lowest latency is well documented [4,5,7,59,28,34]. We believe we are the first to document this problem with hypergiants and anycast through PNI.)

We next describe how we worked with the AMS operators and Google to resolve this problem. We document this case as one typical resolution to show the need for continuous observation of DNS latency through DNS/TCP to find the problem and confirm the fix.



Fig. 11. .nl Anycast A: queries and median RTT per site from Google (AS15169) – January 2020.

Figure 11 show the effects of our traffic engineering on anycast use and query latency for IPv4 (IPv6 figures in §D). Each graph shows traffic or median client latency for each of the 6 .nl Anycast A sites. (Query latency is determined by DNS/TCP traffic over each day.) The graphs show behavior over January 2020, January 5th to 9th (the left, pink area) before any changes, the 9th to the 21st (the middle, green area) when the AMS route was withdrawn, and finally after the 21st (the right, blue region) when AMS was restored, but with different kinds of policy routing.

These graphs confirm that initially, AMS received all traffic from Google, causing Anycast A to appear to be a *unicast* service to Google, precluding locality in a global anycast service. We see that the median latency for Google is about 100 ms (Figure 6a). Withdrawing the AMS peering with Google corrects the problem, spreading queries across multiple anycast sites, benefiting from geographic locality. Median latency drops to 10 to 40 ms, although still around 100 ms at YYZ in Miami for IPv4. LHR is now the busiest site, located in Europe.

Use of the North American sites considerably lowers median latency. We show in Figure 12 the depolarization results for all sites combined, for IPv4 and IPv6. For both IPv4 and IPv6, we see median latency for all sites combined reducing 90 ms, from 100 to 10ms. The IQR was reduced from 95 to 10 ms for IPv4. For

Op.	Day	\mathbf{Time}	Prepend	Community	AMS(%)
1	21	15:00	2x	-	>0
2	22	9:53	2x	NE	>0
3	22	9:59	1x	-	100
4	22	10:21	1x	NE	100
5	22	10:37	1x	NE,15169:13000	100
6	22	11:00	2x	NE	>0

Table 6. BGP manipulations on AMS site of Anycast A – IPv4 and IPv6 prefixes to Google (AS15169) on Jan 21, 2020 (Time in UTC). NE: No Export

IPv6, we observed few queries over TCP between Jan. 1 and 9, so they are not representative. After depolarizing, we see more queries over TCP.

Although overall latency improves, omitting the AMS site misses the opportunity to provide better latency to their data centers in the Netherlands and Denmark. We therefore resumed peering over the BGP session, experimenting with several policy routing choices shown in Table 6. We experimented with 1x and 2x AS-PATH prepending, no-export, and a Google-specific "try-not-to-use this path" community string [18]. We found that no-export and the community string had no effect, perhaps because of the BGP session, and neither did single prepending. However, double AS-PATH prepending left AMS with about 10% of the total traffic load. Full details of our experiments are in our technical report [39].

Depolarizing Microsoft to .nl Anycast A Detection: We discovered Microsoft anycast polarization through analysis of DNS/TCP across ASes (Figure 6b and Figure 6d) AS8075 (Microsoft) and AS15169 (Google) Microsoft's preferred site for .nl Anycast A is Miami (MIA), a different preference than Google's, but the outcome was the same: large latency (median 80 ms) because global traffic goes to one place.

Resolution: Again, we worked with the operators at .nl Anycast A MIA and Microsoft to diagnose and resolve the problem. We confirm that Anycast had a peering session with Microsoft in MIA, and not at any other sites. Again,



Fig. 12. Google depolarization results and RTT.

the result was a short AS-PATH and a preference for all Microsoft data centers to use the Microsoft WAN to this site rather than other .nl Anycast A anycast sites (having different upstream providers per anycast site may cause such traffic distributions [34]).

Options that could mitigate this polarization include de-peering with Microsoft in MIA, peering with Microsoft at the remaining sites, or possibly BGPbased traffic engineering. Because our ability to experiment with BGP was more limited at this site, and we could not start new peerings at other sites, the operator at MIA de-peered with Microsoft at our recommendation.

Figure 10 shows latency for this AS before and after our solution. Removing the direct peering addressed the problem, and Microsoft traffic is now distributed across all .nl Anycast A sites. As a result, the IQR falls from about 80 ms to 13 ms. The median latency also falls by 70 ms, from 90 ms to 20 ms. Our technique identifies problems with polarization and shows the dramatic improvement that results.

4.4 Detecting BGP Misconfiguration in Near Real-Time

Because it poses no additional cost on the network, passive measurement of anycast latency with DNS/TCP is an ideal method for *continuous, on-the-fly* detection of BGP misconfiguration. To this end, we have developed and deployed **Anteater** within .nl, which is a *live* monitoring system that retrieves DNS/TCP RTT continuously.

We show the Anteater architecture in Figure 13. First, traffic is collected at authoritative DNS servers of .nl, which is then exported to ENTRADA [70,61], an open-source DNS traffic streaming warehouse that employs Hadoop [60] and continuously ingests pcap files from these servers. (We also use ENTRADA for other applications as well [36,67]). ENTRADA that extracts RTT for incoming TCP handshakes, making it available for queries using Impala [26], an open-source SQL engine for Hadoop. With Hadoop, ENTRADA supports scalable analysis of large traffic.



Fig. 13. Anteater monitoring at .nl

Anteater then retrieves DNS/TCP RTT data for each anycast server, anycast site, and various ASes, on an hourly basis (given that .nl authoritative servers have good temporal coverage in 1h frames §2.1). It stores the information on a



Fig. 14. Anycast B SYD site: IPv4 Latency.



Fig. 15. Anycast B SYD: queries rate and resolvers.

relational database (PostgreSQL). We then use Grafana [19], a data visualization dashboard and alert system. We then configure RTT thresholds that triggers Grafana to send .nl operators email alerts. Anteater has been used in .nl for the past two years and its proven helpful in detecting BGP misconfigurations. We have released Anteater at [37]. Next we illustrate this use-case with one example from that deployment.

EU traffic winding up in Australia: On 2020-04-08, .nl operators received an alert from Anteater that detected a jump in median DNS RTT for Anycast B, from 55 ms to more than 200 ms (see Figure 14) but only for IPv4 traffic, and not for IPv6.

To investigate this change, we evaluated the number of ASes (Figure 14), resolvers, and query rates (Figure 15) using Anteater's Grafana dashboard. We see that the number of resolvers, queries, and latency grow, with many more ASes, and about $3 \times$ more queries and resolvers. To rule out DDoS attacks or a sudden burst in popularity for our domain, we confirmed that these ASes and resolvers have migrated from other sites (mostly Germany, site FRA) and went to SYD. Since many of these clients are in Europe, this nearly antipodal detour explains the latency increase.

We reached out to the operator of .nl Anycast B SYD. They confirmed and were already aware of the routing change. They informed us that a set of their SYD prefixes had accidentally to propagated through a large, Tier-1 transit provider. Since this provider peered with many other ASes in many places around the globe, their propagation of the Anycast B anycast prefix provided a shorter AS-Path and sent traffic to SYD. We also confirmed these routing changes on the RIPE RIS database of routing changes [54].

While catchment changes are not bad, route leaks that mis-route Europe to Australia are not an improvement. The lightweight nature of DNS/TCP observations of latency support 24x7 monitoring and allowed us to detect this problem, which is why we developed **Anteater** to monitor the .nl operations.

5 Anycast Latency and Traffic

While DNS/TCP can be used to discover anycast latency, does latency matter? DNS caching means *users* are largely insulated from latency. We next confirm



Fig. 16. Fraction of traffic going to each root anycast service, per day, from RSSAC-002 data. B- and H-Root are bold lines.

that latency does influence *traffic* to services when users have the choice of several. A causal relationship between client selection and latency was previously shown experimentally [43] and through code analysis [71], and our operational measurements adds operational measurement confirmation to these results.

Prior work has considered recursive resolver preference for lower latency [44]. Here we turn that analysis around and explore how changing anycast infrastructure shifts a client's preferences towards authoritative name servers. We confirm that lower latency results in increased traffic from recursive resolvers that have a choice between multiple anycast service addresses providing the same zone. (This question differs from studies that examine the optimality of a specific anycast service with multiple sites [29,28].)

To examine this question, we use public RSSAC-002 statistics for the root server system [56]. From this we use the "traffic-volume" statistic, which reports queries per day for each root anycast service. (Recall that the Root DNS is provided by 13 different anycast service addresses per IP version, each using a different anycast infrastructure.) We show 6 months of data here (2019-11-01 to 2020-05-31), but we have noticed similar trends since 2016. This analysis omits G- and I-Root, which did not provide data during this period.

Figure 16 shows the fraction of traffic that goes to each anycast service in the root server system for one year. Two root letters deployed additional sites over this period: B-Root originally had 2 sites but added 3 sites in 2020-02-01, then optimized routing around 2020-04-01. H-Root originally had 2 sites but deployed 4 additional sites on 2020-02-11 and 3 additional sites on 2020-04-06. While other letters also added sites, B and H's changes were the largest improvements relative to their prior size. We see that B and H's share rises from about 4% in 2019-11 to about 6% in 2020-05.

This data confirms that when new sites are created at a root letter, they offer some clients lower latency for that letter. Lower latency causes some clients to shift more of their traffic to this letter (automatically, as described in [43]), so its share of traffic relative to the others grows.

6 Related Work

Passive TCP evaluation: Janey Hoe was the first to extract RTT from the TCP handshake [20], and several groups have used it since then (e.g., Facebook HTTP traffic [57]). We use this old idea, but we apply it to DNS RTT estimation and to use to engineer and monitor Anycast DNS services in near real-time. In a non-peer-reviewed work performed previously but independently from our own, .cz operators [31,32] also employed DNS/TCP RTT to evaluate latency from their services. While both use the same idea (derive latencies from the TCP handshake), ours provides a comprehensive validation (§2). We also act on the results, by carefully manipulating BGP to solve the identified problems, and reduce latency in up to 90% (§4). Besides, our work includes freely three tools: dnsanon, Anteater, and a modified version of KnotDNS. Linux ss and ip utilities [30] can be also used to retrieve TCP information such as RTT. However, they only provide averages. Although TCP congestion control may interact with latency, since DNS/TCP is usually short (a single query and reply), such interactions will be rare.

Anycast DNS performance: Having a single upstream provider has been previously proposed as a solution to avoid routing unexpected behavior[4]. Later research evaluated the impact of number of sites and anycast performance, showing that, counterintuitively, sometimes more sites actually increase latency [59]. The behavior of anycast under DDoS has been examined [42], using data from the 2015 attacks against the Root DNS servers [55]. Our discovery of polarization in Google has been showin in subsequent testbed experiments [64]. We had already shared results of polarization for multiple hypergiants [39], and are the first to quantify the performance and show the benefits of BGP-based fixes.

There is one approach to measure anycast latency today: active measurements. RIPE Atlas [52] measures latency from about 11k physical devices distributed worldwide. Commercial services are known to have fewer vantage points. Our approach instead uses passive analysis of TCP traffic from real clients. It provides far better coverage than RIPE Atlas (§2.1). We expect that Verfploeter will soon support RTT measurements. Even when it does support RTT measurements, our approach can provide coverage for all networks interacting with the service. In addition, since our analysis is passive, it places no additional strain on other networks and can run 24x7. Last, a previous work proposed using new BGP communities to improve the site catchment, which, in turn, requires protocol level changes [28]. Contrary to their approach, ours relies only on passive TCP traffic and does not involve protocol changes.

Anycast optimization for large CDNs with multiple providers: Going beyond how many sites and where to place them, McQuistin *et al.* [34] have investigated anycast networks with multiple upstream providers, as is common for large CDNs. When different sites have different peers or transits catchment inconsistencies can result, as we saw with Google and Anycast A (§4.3). They propose taking active measurements of catchments each day and operator evaluation of catchment changes Our work also detects catchment changes, but only when it affects latency (see §4.3 and §4.3), fortunately when changes matte.r Schlinker *et al.* [57] describe how Facebook monitors their CDN for web content, detecting anycast latency problems for their users. Our work instead focuses shows how TCP results can summarize latency for a mostly UDP-based workload, and studies authoritative DNS traffic, from recursive resolvers (not end-users).

Performance-aware routing: Todd *et al.* [3] compare data from proposals for performance-aware routing from three content/cloud providers (Google, Facebook, and Microsoft) and show that BGP fares quite well for most cases. Others proposed to perform traffic engineering based on packet loss, latency and jitter [49,45].

DNS over TCP, TLS, and HTTP: There is recent interest in DNS over TCP and TLS [72,23] and HTTP [21] to improve privacy. Most such work emphasizes stub-to-recursives resolvers, while we focus on recursive-to-authoritative, where only now IETF is considering alternatives to UDP. Increased use of DNS over connection-oriented transport protocols will improve coverage we can provide.

7 Conclusions

We have shown that DNS TCP connections are a valuable source of latency information about anycast services for DNS. Although TCP is not (today) the dominant transport protocol for DNS, we showed that there is enough DNS/TCP to provide good coverage for latency estimation. We also showed how we prioritize the use of this information to identify problems in operational anycast networks. We have used this approach to study three operational anycast services: two anycast servers of .nl, and one root DNS server (B-root). We documented one new class of latency problems: anycast polarization, an interaction where hypergiants get pessimal latency (100–200 ms) because of a poor interaction between their corporate backbones and global anycast services. We showed how we addressed this problem for .nl's Anycast A with both Google and Microsoft. We also documented several other problems for anycast latency discovered through our analysis of DNS/TCP and showed that it enables continuous monitoring. Last, we release freely two tools (dnsanon and Anteater) and a modified version of KnotDNS. We believe this approach will be of use to other DNS operators.

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Fig. 17. .nl temporal coverage for Anycast B

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A Extra graphs on temporal coverage

Figure 17 show the temporal coverage of Anycast B for .nl. Figure 18 shows temporal coverage for 2019-10-21. Together these graphs show that a core of many ASes are covered by TCP at all times of day.

B Anycast extra data

Figure 19 shows the latency for the top 10 ASes of Anycast B. Figure 20 shows the latency for B-root top talkers by data size (log scale).

C Anycast A and B top ASes

Table 7 shows ASes names and countries for top ASes observed for Anycast A and Anycast B, as shown in Figure 6 and discussed in priorization (\S 3).

D Depolarizing Google: IPv6 graphs

Figure 22 shows the IPv6 depolarization graphs for Anycast A and Google.



Fig. 18. .nl temporal coverage for Anycast A and B on 2019-10-21



Fig. 19. .nl Anycast B query RTT for the top 10 ASes ranked by most queries (bars left axis). Data: 2019-10-15 to -22.



Fig. 20. B-root latency of top talkers by data size (log scale)



(a) B-root AS latency diversity(b) B-root latency diversity for for IPv4 by rank IPv6 by rank

Fig. 21. Latency analysis to B-root by AS latency diversity.

\mathbf{AS}	Number	AS Name	Country
	42	WoodyNet (PCH)	US
	1103	SURFNet BV	NL
	2637	Georgia Institute of Technology	US
	3320	Deutsche Telekom AG	DE
	4134	China Telecom Backbone	CN
	7018	AT&T Services, Inc.	US
	7342	Verisign infrastructure and Operations	US
	7922	Comcast	US
	8075	Microsoft Corporation	US
	13335	Cloudflare	US
	14061	DigitalOcean LLC	US
	14618	Amazon.com Inc.	US
	15169	Google	US
	16276	OVH SAS	FR
	16509	Amazon.com Inc.	US
	23033	Wowrack.com	US
	24940	Hetzner Online GmbH	DE
	32934	Facebook, Inc.	US
	36692	Cisco OpenDNS, LLC	US

Table 7. ASes in the Top 10 Lists of Anycast A and B



Fig.22. .nl Anycast A (IPv6): queries and median RTT per site from Google (AS15169) – January 2020.